



4-04-06

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

ITW/8

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number

10/789,928

Filing Date

February 27, 2004

First Named Inventor

Tobin J. Marks

Art Unit

1712

Examiner Name

Timothy J. Kugel

Attorney Docket Number

7479

ENCLOSURES (check all that apply)

Fee Transmittal Form

Fee Attached

Amendment / Reply (Election/
Restriction Requirement)

After Final

Affidavits/declaration(s)

Extension of Time Request
(3 mo)

Express Abandonment Request

Information Disclosure Statement

Certified Copy of Priority
Document(s)

Reply to Missing Parts/
Incomplete Application

Reply to Missing Parts
under 37 CFR1.52 or 1.53

Drawing(s) (2 sheets)

Licensing-related Papers

Petition

Petition to Convert to a
Provisional Application

Power of Attorney, Revocation
Change of Correspondence Address

Terminal Disclaimer

Request for Refund

CD, Number of CD(s) _____

Landscape Table on CD

After Allowance Communication to TC

Appeal Communication to Board
of Appeals and Interferences

Appeal Communication to TC
(Appeal Notice, Brief, Reply Brief)

Proprietary Information

Status Letter

Other Enclosure(s)
(please identify below):

Statement regarding substitute
specification

Drawings Transmittal

Return receipt postcard

Remarks

Authorization is hereby given to charge or credit Deposit Account No. 18-0882 for
any fee deficiency or overpayment.

Rodney D. DeKruif

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm

Reinhart Boerner Van Deuren s.c.

Signature

Printed Name

Rodney D. DeKruif

Date

April 3, 2006

Reg.
No.

35,853

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Label Number EV 717283424 US addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 3, 2006.

Signature

Typed or printed name

Rodney D. DeKruif

Date

April 3, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Label No. EV 717283424 US addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 3, 2006.

Reinhart Boerner Van Deuren s.c.



By: Rodney D. DeKruif
Rodney D. DeKruif

Date: April 3, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP ART UNIT: 1712

EXAMINER: Timothy J. Kugel

In re application of:)
Marks et al.)
)
Application No: 10/789,928)
)
Filed: 02/27/2004)
)
Confirmation No.: 6801)
)
Attorney Docket No. 7479)
)
Customer No. 22922)
)

For: VAPOR DEPOSITED
ELECTRO-OPTIC FILMS
SELF-ASSEMBLED THROUGH
HYDROGEN BONDING

April 3, 2006

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF REPLACEMENT DRAWINGS AND NEW FIGURE

Pursuant to the pending Office Action, attached please find 2 sheets of formal drawings, one as a replacement sheet (Figures 1A-B) for the above-referenced application, and another providing a new figure (Figure 11) to replace a specification schematic, both as requested by the Examiner.

Please contact the undersigned by telephone if any issue remains. Thank you for your help and consideration.

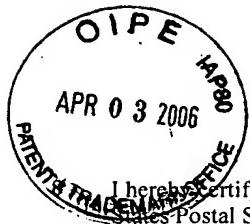
Reg. No.: 35,853

Tel. No.: (414) 298-8360

Customer No. 22922

Rodney D. DeKruif
SIGNATURE OF ATTORNEY
Rodney D. DeKruif
Type or print name of attorney

Reinhart Boerner Van Deuren s.c.
Attn: Linda Gabriel-Kasulke, Docket Clerk
1000 North Water Street, Suite 2100
Milwaukee, WI 53202



I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office To Addressee Mailing Label Number EV 717283424 US with sufficient postage addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 3, 2006.
Reinhart Boerner Van Deuren s.c.

PATENT APPLICATION

By: Rodney D. DeKruif
Rodney D. DeKruif

Date: April 3, 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

GROUP ART UNIT: 1712

EXAMINER: Timothy J. Kugel

In re application of: Marks et al.)
Application No: 10/789,928)
Filed: 02/27/2004)
Confirmation No.: 6801)
Attorney Docket No. 7479)
Customer No. 22922)

For: VAPOR DEPOSITED
ELECTRO-OPTIC FILMS
SELF-ASSEMBLED
THROUGH HYDROGEN
BONDING

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

April 3, 2006

STATEMENT CONCERNING SUBSTITUTE SPECIFICATION

Dear Sir:

Pursuant to 37 C.F.R. § 1.121(b)(3) and § 1.125 and an Official Gazette Notice of 08 November 2005, Applicants hereby submit a substitute specification to address several issues raised in the pending notice.

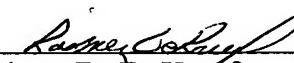
Notably, scheme 3 on page 12 of the original specification is hereby deleted, but presented as Figure 11. Accordingly, reference to Figure 11 is hereby added on page 3.

The substitute specification includes no new matter. A clean version of the specification also accompanies this submission. Please replace the original specification with the substitute specification.

The Examiner is invited to contact the undersigned if any issue remains. Thank you for your help and consideration.

Respectfully submitted,

Reg. No. 35,853
Tel. No.: (414) 298-8360


Rodney D. DeKruif
Reinhart Boerner Van Deuren, s.c.
Attn: Linda Gabriel, Docket Clerk
1000 North Water Street, Suite 2100
Milwaukee, WI 53202